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### **TRANSMITTAL FORM**

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Total Number of Pages in This Submission

Application Number 10/788,650 Filing Date February 27, 2004 First Named Inventor Chaitan KHOSLA Art Unit 1648 **Examiner Name** H. Park Attorney Docket Number 300622000205

ENCLOSURES (Check all that apply)						
X Fee Transr duplicate	nittal Form (1 page +	Drawing(s)	After Allowance communication to Technology Center (TC)			
Fee	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendmer	nt/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
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Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Extension	of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):			
Express At	pandonment Request	Request for Refund	Form PTO-1449 (7 pages + duplicate)			
x Information Disclosure Statement (3 pages)		CD, Number of CD(s)	51 References Return Receipt Postcard			
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	oonse to Missing Parts or 37 CFR 1.52 or 1.53					
	SIGNATI	URE OF APPLICANT, ATTORNEY, OF	RAGENT			
Firm	MORRISON & FOERSTER LLP					
or Individual name	Brenda J. Wallach - 45,193					
Signature	Brenda Mallach Nov. 3, 2004					
Date	nov. 3	, 2004	<del></del>			

I hereby certify that this correspondence i			
envelope addressed to: MS Amendment below.			
Dated: 11 · 3 - 0 · /	Signature: Rhea	(Rhea Amid)	

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Yirginia 22313-1450, on November 2, 2004.

Rhea Amid

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Chaitan KHOSLA et al.

Serial No.:

10/788,650

Filing Date:

February 27, 2004

For:

**CELL-FREE SYNTHESIS OF** 

**POLYKETIDES** 

Examiner: H. Park

Group Art Unit: 1648

### INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. §1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of the documents numbered 27-29, 31-32, and 35-94 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/602,725, filed June 26, 2000, now U.S. Patent No. 6,750,040,

granted on June 15, 2004, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). Copies of the remaining foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record in the application.

	This I	nformation Disclosure Statement is submitted:
	With t	he application; accordingly, no fee or separate requirements are required.
	Before	e the mailing of a first Office Action after the filing of a Request for Continued
	Exami	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. §
	1.97(e	e)(1) has been provided.
	Within	n three months of the application filing date or before mailing of a first Office
	Action	on the merits; accordingly, no fee or separate requirements are required.
	Howe	ver, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
$\boxtimes$	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Action	or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
	$\boxtimes$	A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue 1	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
		form (PTO/SB/17 is attached to this submission in duplicate.)

indicating that the information has been considered and made of record herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449,

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 300622000205. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 3, 2004

Respectfully submitted,

Registration No. 45,193

Morrison & Foerster LLP 3811 Valley Centre Drive

Suite 500

San Diego, California 92130-2332

Telephone: (858) 720-7961 Facsimile: (858) 720-5125

### Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

	Slicet I of 7
Docket Number 300622000205	Application Number 10/788,650
Applicant	
Chaita	in KHOSLA et al.
Filing Date February 27, 2004	Group Art Unit 1648
Mailing Date: November 3, 20	004

### **U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/1985	4,551,433	DeBoer	435	253	
	2.	10/1989	4,874,748	Katz et al.	514	29	
	3.	06/1990	4,935,340	Baltz et al.	435	6	
	4.	11/1991	5,063,155	Cox et al.	435	76	
	5.	03/1992	5,098,837	Beckmann et al.	435	172.3	
	6.	09/1992	5,149,639	Katz et al.	435	76	
-	7.	12/1992	5,168,052	Cox et al.	435	72	
	8.	02/1993	5,188,944	Omstead et al.	435	76	
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	23.	08/2001	6,271,255	Leadlay et al.	514	450	
	24.	06/2004	6,750,040	Khosla et al.	435	41	

EXAMINER: DATE CONSIDERED:

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# The PTO did not receive the following " " " " listed Item(s) References marked as Asterisk \*

PTO/SB/08 (2-92) Sheet 2 of 7

Form PTO-1449	Docket Number 300622000205 Application Number 10/788,650		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant Chaitan KHOSLA et al.		
(Use several sheets if necessary)	Filing Date February 27, 2004 Group Art Unit 1648		
	Mailing Date: November 3, 20	004	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
-	25.	08/1997	EP 791655 Ø	Europe			
	26.	08/1997	EP 791656 &	Europe			
A.	27.	10/1991	WO 91/16334	WIPO			
e ;	28.	07/1993	WO 93/13663	WIPO			
2	29.	03/1995	WO 95/08548	WIPO			,
	30.	12/1996	WO 96/40968	WIPO			
Č.	31.	01/1997	WO 97/02358	WIPO			
52	32.	04/1997	WO 97/13845	WIPO			
	33.	01/1998	WO 98/01546	WIPO			
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) sd- 226068 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Form PTO-1449		Docket Number 300622000205	Application Number 10/788,650		
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Form PTO-1449		Docket Number 300622000205	Application Number 10/788,650		
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(U	se several sheets if necessary)	Filing Date February 27, 2004	Group Art Unit 1648		
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Form PTO-1449		Docket Number 300822000203	Application Number 10/788,630			
	ION DISCLOSURE CITATION AN APPLICATION	Applicant Chaitan KHOSLA et al.				
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Form P1O-1449		Bocket Number 300022000203	Application Number 10/766,050	
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(Use several sheets if necessary)		Filing Date February 27, 2004	Group Art Unit 1648	
		Mailing Date: November 3 , 2004		
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		Mailing Date: November 3_, 2004		
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